

Customer Information		
Name / Company / Trustee		Personal/Corporate ID Number
Address (Street, postbox)		Email
Postal Code	City	Country
In which industry are you active?		
Advisor		Tied agent

Mandatory Background Questions – in accordance with the law (2017:630) on measures against money laundering and financing of terrorism:

Beneficial Owner – Investors who are natural persons

Are you acting on your own behalf? ☐ Yes ☐ No

If you are acting on behalf of someone else, state his/her/their name, address, and personal identity number.

If the beneficial owner is a person in a politically exposed position, indicate on what grounds this person is a PEP, family member, or known associate of a PEP in the PEP text box.

Name	Address	Personal Identity Number
PEP		

Name	Address	Personal Identity Number
PEP		

Name	Address	Personal Identity Number
PEP		

Money laundering issues**Are you a politically exposed person (PEP)?** ☐ Yes ☐ No

A PEP refers to a natural person who has or has had an important function such as:

- ☐ a) Head of state or government,
- ☐ b) Minister or Deputy Minister,
- ☐ c) Member of Parliament,
- ☐ d) Political Party Board Member,
- ☐ e) Judge of the Supreme Court, the Supreme Administrative Court or other high-level judicial body whose decisions only exceptionally can be appealed (for example, ordinary judges in the Court of Appeal or the Court of Appeal)
- ☐ f) Senior official in an audit authority or member of the governing body in central bank
- ☐ g) Ambassador or head of mission,
- ☐ h) High-ranking military officer,
- ☐ i) Person who is part of a state-owned company's administrative, management or control organization or
- ☐ j) Senior executive (Secretary-General, deputy or similar) in an international organization (for example, UN, NATO and WTO)

Persons referred to in a)-j) above are, during the period they hold the assignment, and for a period of 18 months thereafter, politically exposed persons ("PEP").

Are you a family member of a PEP? ☐ Yes ☐ No

A family member of a PEP means a spouse, registered partner, cohabitant, children and their spouses, registered partners or cohabitants and parents.

Are you a known associate of a PEP? ☐ Yes ☐ No

A known associate means

- A natural person who, according to known information or reasonable grounds, together with a PEP, is the ultimate beneficial owner of a legal entity or legal arrangement or who otherwise has or has had connections with a PEP, or
- A natural person who is the sole beneficial owner of a legal entity or legal arrangement that, according to known information or reasonable grounds, has been established for the benefit of a PEP.

If you have answered yes to any of the above questions:

If you are a PEP, indicate your position and the country where you hold or have held this position, as well as the start and end dates of the assignment:

Position, country, and date:

If you are a family member or known associate of a PEP, indicate your relation to the PEP, their position, and the country where they hold or have held this position, as well as the start and end dates of their assignment:

Position, country, and date:

Capital and Transaction Information**Customer's monthly net income:**

- ☐ 0 – 1,500 €
☐ 1,500 – 3,000 €
☐ 3,000 – 5,000 €
☐ 5,000 – 10,000 €
☐ 10,000 – 20,000 €
☐ 20,000 – 50,000 €
☐ 50,000 – 100,000 €
☐ > 100,000 €

Total saved/invested in securities and cash:

- ☐ 0 – 5,000 €
☐ 5,000 – 10,000 €
☐ 10,000 – 50,000 €
☐ 50,000 – 100,000 €
☐ 100,000 – 500,000 €
☐ 500,000 – 1,000,000 €
☐ 1,000,000 – 5,000,000 €
☐ > 5,000,000 €

Source of funds:

- ☐ Own savings
☐ Salary or pension
☐ Business sale
☐ Company profits
☐ Real estate sale
☐ Inheritance/Gift
☐ Prior investments/capital gains

☐ Other:

Copy of ID document available or BankID signed:

☐ Yes ☐ No

Only Swedish tax residence:

☐ Yes ☐ No

If other tax residence:

Specify the purpose of the business relationship

(Provide a detailed description of why the customer has chosen to establish a business relationship with SIP/why a particular service or product has been selected)

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How much EUR does the customer intend to deposit annually in the account at SIP?

- ☐ Less than 5,000 €
☐ 5,000 – 10,000 €
☐ 10,000 – 50,000 €
☐ 50,000 – 100,000 €
☐ 100,000 – 500,000 €
☐ More than 500,000 €
☐ Does not have an account at SIP

How often does the customer plan to make deposits into the account at SIP?

- ☐ Less than once per month
☐ 1–5 times per month
☐ More than 5 times per month
☐ Does not have an account at SIP

How much EUR does the customer intend to conduct in transactions (buying and selling) annually?

- ☐ Less than 5,000 €
☐ 5,000 – 10,000 €
☐ 10,000 – 50,000 €
☐ 50,000 – 100,000 €
☐ 100,000 – 500,000 €
☐ More than 500,000 €

How often does the customer plan to conduct transactions (buying and selling)?

- ☐ Less than once per month
☐ 1–5 times per month
☐ More than 5 times per month

How much EUR does the customer intend to withdraw annually from the account at SIP?

- ☐ Less than 5,000 €
☐ 5,000 – 10,000 €
☐ 10,000 – 50,000 €
☐ 50,000 – 100,000 €
☐ 100,000 – 500,000 €
☐ More than 500,000 €
☐ Does not have an account at SIP

How often does the customer plan to make withdrawals per year from the account at SIP?

- ☐ Less than once per month
☐ 1–5 times per month
☐ More than 5 times per month
☐ Does not have an account at SIP

From which bank/institution will you or the company generally make transfers?

- ☐ Swedish bank/institution
☐ Foreign bank/institution
☐ Swedish insurance company
☐ Foreign insurance company
☐ Pension
☐ From my employer
☐ Beneficiary to the account through inheritance

☐ Other:

High-Risk Third Country

Are you a citizen of Sweden?

- ☐ Yes ☐ No

Other citizenship?

- ☐ Yes ☐ No

Country, if other citizenship:

Signature

Place and Date	Signature
Personal Identification Number	Printed Name

To be filled out by SIP Nordic:

Checked against the EU sanctions list? <input type="checkbox"/> No <input type="checkbox"/> Yes, date:	Has the customer been risk classified? <input type="checkbox"/> No <input type="checkbox"/> Yes, signature:
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